#5 448

31

Serial Number: 09/870,375

ENTERED

RECEIVED JAN 31 2002 [TECHNOLOGY CENTER 1600/2900]

CRF Processing Date: 1/29/2002
Edited by: _____
Verified by: _____ (STIC Staff)

- ☐ Changed a file from non-ASCII to ASCII.
- ☐ Changed the margins in cases where the sequence text was "wrapped" down to the next line.
- ☐ Edited a format error in the Current Application Data section, specifically: _____
- ☐ Edited the Current Application Data section with the actual current number. The number inputted by the applicant was ☐ the prior application data; or ☐ other _____.
- ☐ Added the mandatory heading and subheadings for "Current Application Data".
- ☐ Edited the "Number of Sequences" field. The applicant spelled out a number instead of using an integer.
- ☐ Changed the spelling of a mandatory field (the headings or subheadings), specifically: _____
- ☐ Corrected the SEQ ID NO when obviously incorrect. The sequence numbers that were edited were: _____
- ☐ Inserted or corrected a nucleic number at the end of a nucleic line. SEQ ID NO's edited: _____
- ☐ Corrected subheading placement. All responses must be on the same line as each subheading. If the applicant placed a response below the subheading, this was moved to its appropriate place.
- ☐ Inserted colons after headings/subheadings. Headings edited included: _____
- ☐ Deleted extra, invalid, headings used by an applicant, specifically: _____
- ☑ Deleted: ☑ non-ASCII "garbage" at the beginning/end of files; ☐ secretary initials/filename at end of file; ☐ page numbers throughout text; ☐ other invalid text, such as_____ .
- ☐ Inserted mandatory headings, specifically: _____
- ☐ Corrected an obvious error in the response, specifically: _____
- ☐ Edited identifiers where upper case is used but lower case is required, or vice versa.
- ☐ Corrected an error in the Number of Sequences field, specifically: _____
- ☐ A "Hard Page Break" code was inserted by the applicant. All occurrences had to be deleted.
- ☐ Deleted *ending* stop codon in amino acid sequences and adjusted the "(A)Length:" field accordingly (error due to a PatentIn bug). Sequences corrected: _____
- ☐ Other: _____
  _____
  _____

Examiner: Th abov corrections must be communicated to the applicant in the first Office Action. DO NOT send a copy of this form.

3/1/95

1645

RAW SEQUENCE LISTING   DATE: 01/29/2002
PATENT APPLICATION: US/09/870,375   TIME: 09:54:45

Input Set : A:\PTO.AMC.TXT
Output Set: N:\CRF3\01292002\I870375.raw

```
       3 <110> APPLICANT: MERISTEM THERAPEUTICS
       5 <120> TITLE OF INVENTION: SYNTHETIC AND CHIMERIC PROMOTERS, EXPRESSION CASSETTES,
       6       PLASMIDS, VECTORS, TRANSGENIC PLANTS ET SEEDS INCLUDING
       7       THEM AND PROCESSES FOR PRODUCING THE SAME
       9 <130> FILE REFERENCE: PrHMWG1
C--> 11 <140> CURRENT APPLICATION NUMBER: US/09/870,375
C--> 12 <141> CURRENT FILING DATE: 2001-05-30
      14 <160> NUMBER OF SEQ ID NOS: 37
      16 <170> SOFTWARE: PatentIn Ver. 2.1
      18 <210> SEQ ID NO: 1
      19 <211> LENGTH: 417
      20 <212> TYPE: DNA
      21 <213> ORGANISM: Triticum aestivum
      23 <220> FEATURE:
      24 <221> NAME/KEY: misc_feature
      25 <222> LOCATION: (22)..(29)
      26 <223> OTHER INFORMATION: Prolamine- like box
      28 <220> FEATURE:
      29 <221> NAME/KEY: misc_feature
      30 <222> LOCATION: (70)..(73)
      31 <223> OTHER INFORMATION: GATA box
      33 <220> FEATURE:
      34 <221> NAME/KEY: misc_feature
      35 <222> LOCATION: (87)..(90)
      36 <223> OTHER INFORMATION: GATA box
      38 <220> FEATURE:
      39 <221> NAME/KEY: misc_feature
      40 <222> LOCATION: (127)..(133)
      41 <223> OTHER INFORMATION: Prolamine-like box
      43 <220> FEATURE:
      44 <221> NAME/KEY: misc_feature
      45 <222> LOCATION: (161)..(168)
      46 <223> OTHER INFORMATION: G-like box
      48 <220> FEATURE:
      49 <221> NAME/KEY: enhancer
      50 <222> LOCATION: (193)..(230)
      51 <223> OTHER INFORMATION: Enhancer box
      53 <220> FEATURE:
      54 <221> NAME/KEY: TATA_signal
      55 <222> LOCATION: (349)..(355)
      56 <223> OTHER INFORMATION: TATA box
      58 <220> FEATURE:
      59 <221> NAME/KEY: misc_feature
```

RAW SEQUENCE LISTING   DATE: 01/29/2002
PATENT APPLICATION: US/09/870,375   TIME: 09:54:45

Input Set : A:\PTO.AMC.TXT
Output Set: N:\CRF3\01292002\I870375.raw

```
60 <222> LOCATION: (379)
61 <223> OTHER INFORMATION: Transcription initiation site
63 <400> SEQUENCE: 1
64 agctttgagt ggccgtagat ttgcaaaagc aatggctaac agacacatat tctgccaaac 60
65 cccaagaagg ataatcactt ttcttagata aaaaagaaca gaccaatata caaacatcca 120
66 cacttctgca aacaatacat cagaactagg attacgccga ttacgtggct ttagcagact 180
67 gtccaaaaat ctgttttgca aagctccaat tgctccttgc ttatccagct tcttttgtgt 240
68 tggcaaactg cgcttttcca accgattttg ttcttctcgc gctttcttct taggctaaac 300
69 aaacctcacc gtgcacgcag ccatggtcct gaaccttcac ctcgtccta taaaagccta 360
70 gccaaccttc acaatcttat catcacccac aacaccgagc accacaaact agagatc    417
73 <210> SEQ ID NO: 2
74 <211> LENGTH: 181
75 <212> TYPE: DNA
76 <213> ORGANISM: Artificial Sequence
78 <220> FEATURE:
79 <223> OTHER INFORMATION: Description of Artificial Sequence:MPr1126
80       promoter
82 <220> FEATURE:
83 <221> NAME/KEY: TATA_signal
84 <222> LOCATION: (113)..(119)
85 <223> OTHER INFORMATION: TATA box
87 <220> FEATURE:
88 <221> NAME/KEY: misc_feature
89 <222> LOCATION: (143)
90 <223> OTHER INFORMATION: Transcription Initiation site
92 <400> SEQUENCE: 2
93 gtgttggcaa actgcgcttt tccaaccgat tttgttcttc tcgcgctttc ttcttaggct 60
94 aaacaaacct caccgtgcac gcagccatgg tcctgaacct tcacctcgtc cctataaaag 120
95 cctagccaac cttcacaatc ttatcatcac ccacaacacc gagcaccaca aactagagat 180
96 c                                                               181
99 <210> SEQ ID NO: 3
100 <211> LENGTH: 244
101 <212> TYPE: DNA
102 <213> ORGANISM: Artificial Sequence
104 <220> FEATURE:
105 <223> OTHER INFORMATION: Description of Artificial Sequence:MPr1127
106       promoter
108 <220> FEATURE:
109 <221> NAME/KEY: enhancer
110 <222> LOCATION: (20)..(57)
111 <223> OTHER INFORMATION: Enhancer box
113 <220> FEATURE:
114 <221> NAME/KEY: TATA_signal
115 <222> LOCATION: (176)..(182)
116 <223> OTHER INFORMATION: TATA box
118 <220> FEATURE:
119 <221> NAME/KEY: misc_feature
120 <222> LOCATION: (206)
121 <223> OTHER INFORMATION: Transcription Initiation site
```

```
123 <400> SEQUENCE: 3
124 gcagactgtc caaaaatctg ttttgcaaag ctccaattgc tccttgctta tccagcttct 60
125 tttgtgttgg caaactgcgc ttttccaacc gattttgttc ttctcgcgct ttcttcttag 120
126 gctaaacaaa cctcaccgtg cacgcagcca tggtcctgaa ccttcacctc gtccctataa 180
127 aagcctagcc aaccttcaca atcttatcat cacccacaac accgagcacc acaaactaga 240
128 gatc                                                              244
131 <210> SEQ ID NO: 4
132 <211> LENGTH: 277
133 <212> TYPE: DNA
134 <213> ORGANISM: Artificial Sequence
136 <220> FEATURE:
137 <223> OTHER INFORMATION: Description of Artificial Sequence:MPr1128
138       promoter
140 <220> FEATURE:
141 <221> NAME/KEY: misc_feature
142 <222> LOCATION: (21)..(28)
143 <223> OTHER INFORMATION: G-like box
145 <220> FEATURE:
146 <221> NAME/KEY: enhancer
147 <222> LOCATION: (53)..(90)
148 <223> OTHER INFORMATION: Enhancer box
150 <220> FEATURE:
151 <221> NAME/KEY: TATA_signal
152 <222> LOCATION: (209)..(215)
153 <223> OTHER INFORMATION: TATA box
155 <220> FEATURE:
156 <221> NAME/KEY: misc_feature
157 <222> LOCATION: (239)
158 <223> OTHER INFORMATION: Transcription Initiation Site
160 <400> SEQUENCE: 4
161 cagaactagg attacgccga ttacgtggct ttagcagact gtccaaaaat ctgttttgca 60
162 aagctccaat tgctccttgc ttatccagct tcttttgtgt tggcaaactg cgcttttcca 120
163 accgattttg ttcttctcgc gctttcttct taggctaaac aaacctcacc gtgcacgcag 180
164 ccatggtcct gaaccttcac ctcgtcccta taaagcccta gccaaccttc acaatcttat 240
165 catcacccac aacaccgagc accacaaact agagatc                          277
168 <210> SEQ ID NO: 5
169 <211> LENGTH: 472
170 <212> TYPE: DNA
171 <213> ORGANISM: Artificial Sequence
173 <220> FEATURE:
174 <223> OTHER INFORMATION: Description of Artificial Sequence:MPr1130
175       promoter
177 <220> FEATURE:
178 <221> NAME/KEY: misc_feature
179 <222> LOCATION: (22)..(29)
180 <223> OTHER INFORMATION: Prolamine-like box
182 <220> FEATURE:
183 <221> NAME/KEY: misc_feature
184 <222> LOCATION: (70)..(73)
```

```
185 <223> OTHER INFORMATION: GATA box
187 <220> FEATURE:
188 <221> NAME/KEY: misc_feature
189 <222> LOCATION: (87)..(90)
190 <223> OTHER INFORMATION: GATA box
192 <220> FEATURE:
193 <221> NAME/KEY: misc_feature
194 <222> LOCATION: (127)..(133)
195 <223> OTHER INFORMATION: Prolamine-like box
197 <220> FEATURE:
198 <221> NAME/KEY: misc_feature
199 <222> LOCATION: (161)..(168)
200 <223> OTHER INFORMATION: G-like box
202 <220> FEATURE:
203 <221> NAME/KEY: enhancer
204 <222> LOCATION: (193)..(230)
205 <223> OTHER INFORMATION: Enhancer box
207 <220> FEATURE:
208 <221> NAME/KEY: misc_feature
209 <222> LOCATION: (314)..(368)
210 <223> OTHER INFORMATION: As2/As2/As1 box
212 <220> FEATURE:
213 <221> NAME/KEY: TATA_signal
214 <222> LOCATION: (404)..(410)
215 <223> OTHER INFORMATION: TATA box
217 <220> FEATURE:
218 <221> NAME/KEY: misc_feature
219 <222> LOCATION: (434)
220 <223> OTHER INFORMATION: Transcription Initiation Site
222 <400> SEQUENCE: 5
223 agctttgagt ggccgtagat ttgcaaaagc aatggctaac agacacatat tctgccaaac 60
224 cccaagaagg ataatcactt ttcttagata aaaaagaaca gaccaatata caaacatcca 120
225 cacttctgca aacaatacat cagaactagg attacgccga ttacgtggct ttagcagact 180
226 gtccaaaaat ctgttttgca aagctccaat tgctccttgc ttatccagct tcttttgtgt 240
227 tggcaaactg cgcttttcca accgattttg ttcttctcgc gctttcttct taggctaaac 300
228 aaacctcacc gtgattgatg tgatatcaag attgatgtga tatctccact gacgtaaggg 360
229 atgacgcaca cgcagccatg gtcctgaacc ttcacctcgt ccctataaaa gcctagccaa 420
230 ccttcacaat cttatcatca cccacaacac cgagcaccac aaactagaga tc         472
233 <210> SEQ ID NO: 6
234 <211> LENGTH: 455
235 <212> TYPE: DNA
236 <213> ORGANISM: Artificial Sequence
238 <220> FEATURE:
239 <223> OTHER INFORMATION: Description of Artificial Sequence:MPrl131
240         promoter
242 <220> FEATURE:
243 <221> NAME/KEY: misc_feature
244 <222> LOCATION: (22)..(29)
245 <223> OTHER INFORMATION: Prolamine-like box
```

RAW SEQUENCE LISTING   DATE: 01/29/2002
PATENT APPLICATION: US/09/870,375   TIME: 09:54:45

Input Set : A:\PTO.AMC.TXT
Output Set: N:\CRF3\01292002\I870375.raw

```
247 <220> FEATURE:
248 <221> NAME/KEY: misc_feature
249 <222> LOCATION: (70)..(73)
250 <223> OTHER INFORMATION: GATA box
252 <220> FEATURE:
253 <221> NAME/KEY: misc_feature
254 <222> LOCATION: (87)..(90)
255 <223> OTHER INFORMATION: GATA box
257 <220> FEATURE:
258 <221> NAME/KEY: misc_feature
259 <222> LOCATION: (127)..(133)
260 <223> OTHER INFORMATION: Prolamine-like box
262 <220> FEATURE:
263 <221> NAME/KEY: misc_feature
264 <222> LOCATION: (161)..(168)
265 <223> OTHER INFORMATION: G-like box
267 <220> FEATURE:
268 <221> NAME/KEY: enhancer
269 <222> LOCATION: ()..)
270 <223> OTHER INFORMATION: Enhancer box
272 <220> FEATURE:
273 <221> NAME/KEY: misc_feature
274 <222> LOCATION: ()..)
275 <223> OTHER INFORMATION: As2/As1 box
277 <220> FEATURE:
278 <221> NAME/KEY: TATA_signal
279 <222> LOCATION: ()..(393)
280 <223> OTHER INFORMATION: TATA box
282 <220> FEATURE:
283 <221> NAME/KEY: misc_feature
284 <222> LOCATION: ()
285 <223> OTHER INFORMATION: Transcription Initiation Site
287 <400> SEQUENCE: 6
288 agctttgagt ggccgtagat ttgcaaaagc aatggctaac agacacatat tctgccaaac 60
289 cccaagaagg ataatcactt ttcttagata aaaaagaaca gaccaatata caaacatcca 120
290 cacttctgca aacaatacat cagaactagg attacgccga ttacgtggct ttagcagact 180
291 gtccaaaaat ctgttttgca aagctccaat tgctccttgc ttatccagct tcttttgtgt 240
292 tggcaaactg cgcttttcca accgattttg ttcttctcgc gctttcttct taggctaaac 300
293 aaacctcacc gtgattgatg tgatatctcc actgacgtaa gggatgacgc acacgcagcc 360
294 atggtcctga accttcacct cgtccctata aaagcctagc caaccttcac aatcttatca 420
295 tcacccacaa caccgagcac cacaaactag agatc                            455
298 <210> SEQ ID NO: 7
299 <211> LENGTH: 332
300 <212> TYPE: DNA
301 <213> ORGANISM: Artificial Sequence
303 <220> FEATURE:
304 <223> OTHER INFORMATION: Description of Artificial Sequence:MPr1135
305       promoter
307 <220> FEATURE:
```

```
              VERIFICATION SUMMARY                DATE: 01/29/2002
              PATENT APPLICATION:  US/09/870,375  TIME: 09:54:46

              Input Set : A:\PTO.AMC.TXT
              Output Set: N:\CRF3\01292002\I870375.raw
```

```
L:11 M:270 C: Current Application Number differs, Replaced Application Number
L:12 M:271 C: Current Filing Date differs, Replaced Current Filing Date
```